



## **DISCLOSURE STATEMENT for Nigel Hickey**

### **Director/Advisor at SwainWoodham Group trading as Maurice Woodham Ltd**

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### **It is important that you read this document**

This information will help you to choose a financial adviser that best suits your needs. It will also provide some useful information about the financial adviser that you choose.

### **What sort of adviser am I?**

I am a registered, but not authorised, financial adviser. I can give you advice about category 2 financial products such as life insurance, health insurance, risk insurance and other insurance products. . I specialise in the area of personal risk management, life insurance, business insurance and health insurance.

### **What should you do if something goes wrong?**

If you have a problem, concern, or complaint about any part of my service, please tell me so I can try to fix the problem. You may contact our internal disputes service by telephoning 03 366 4220, by email [info@swainwoodham.co.nz](mailto:info@swainwoodham.co.nz) or in writing to P O Box 25 206, Christchurch 8144.

If we cannot agree on how to resolve the issue, you can contact Financial Services Complaints Ltd, This service will cost you nothing, and will help us resolve any disagreements. You can contact Financial Services Complaints Ltd by emailing [info@fscl.org.nz](mailto:info@fscl.org.nz), calling Financial Services Complaints Ltd on 04 4723725 or in writing to Financial Services Complaints Ltd, P O Box 5967, Lambton Quay, Wellington 6145.

### **How am I regulated by the Government?**

You can check that I am a registered financial adviser at <http://www.fspr.govt.nz>. The Financial Markets Authority regulates financial advisers. Contact the Financial Markets Authority for more information, including financial tips and warnings.

You can report information or complaints about my conduct to the Financial Markets Authority, but in the event of a disagreement, you may choose to first use the dispute resolution procedures described above (under "What should you do if something goes wrong?").

### **Declaration**

I, Nigel Hickey declare that, to the best of my knowledge and belief, the information contained in this disclosure statement is true and complete and complies with the disclosure requirements in the Financial Advisers Act 2008 and the Financial Advisers (Disclosure) Regulations 2010.

Signed: \_\_\_\_\_ Date: \_\_\_\_/\_\_\_\_/\_\_\_\_

This Disclosure Statement 3.5 was prepared on 1 July 2011